



2009 Energy Efficiency Rebate Program

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The SMU Energy Efficiency Rebate Program provides financial incentives to encourage customers to purchase and install energy efficient appliances, motors, lights, HVAC systems and other devices and home improvements which use electricity and water wisely.

Section I General Program Information

1. The effective date is January 1, 2009. Programs may be changed or cancelled by SMU without notice. Customers should check with the SMU office for information on programs.
2. The energy efficient device(s) shall be installed in the SMU service territory and cannot be relocated or sold for resale until retired from service.
3. SMU reserves the right to verify installation and invoices prior to rebate being paid.
4. SMU reserves the right to meter (at SMU expense) installed equipment to aid in determination of actual energy savings.
5. Rebates will not exceed equipment costs minus any manufacturers or dealer rebates.
6. To receive rebates for items for the 2009 program, the items must be purchased and/or installed during 2009. Following are the deadlines for turning in the rebates to SMU for payment:
 - a. Items purchased and installed before 12/1/09--Rebate filing due by 12/31/09
 - b. Items purchased and installed between 12/1/09 and 12/31/09--Rebate filing due by 1/31/10
7. Any dealers participating in the program must sign a letter of agreement stating their intent to follow the rules and regulations of this program.
8. Customers are responsible to insure that all equipment is installed in accordance with all codes, standards, and regulatory requirements.
9. Rebates will be approved on new devices only. No rebates will be approved for used items.
10. SMU does not guarantee reduced usage, demand, or cost savings. SMU makes no warranties, expressed or implied, with the respect to any equipment purchased or installed. In no event shall SMU be liable for any incidental or consequential damages. SMU does not endorse any manufacturer or product in this program.
11. Completed applications will be processed on a first come, first served basis, until available funds are committed.
12. Any income tax or other taxes which may be payable as a result of the rebate being paid (or applied to the utility account) are the responsibility of the customer.
13. The decision of Spencer Municipal Utilities regarding any disputes which arise in the rebate program is final.

Section II Residential Rebates

1. Rebates will be issued to the party that paid for the energy efficient item(s). If that party also occupies the residence where the device was installed, the rebate will be paid as a credit to the utility account at that location. If that party does not occupy the residence where the device was installed, the rebate will be applied as a credit to another utility account in that party's name, or will be given in the form of a check. Payment will be made upon approval of completed application, sales ticket, and SMU verification.
2. Customers who receive the rebate will agree on the application to allow SMU to install and operate load control equipment including but not limited to A/C, heat pumps, or water heaters with the Board of Trustees of Spencer Municipal Utilities determines that use of load control is required on the SMU electric system.
3. Rebates are listed in Table II.

Section III Commercial Rebates

A. Electric Motor Rebates

1. New and replacement 3-phase motors qualify. Rewinds are not eligible.
2. Motors must be rated between 1 HP and 200 HP. NEMA design "B", AC Polyphase, and squirrel cage induction type with speeds of 1200, 1800, 3600 RPM. Motors over 200 HP will be allowed on a case-by-case basis.
3. Motors must be tested in accordance with IEEE test method B, and the motor nameplate stamped with its nominal efficiency.
4. Minimum Qualifying Nominal Efficiency Rebates amount can be found in Table III.
5. Rebates will be applied to the customer billing account upon completion and approval of the rebate application.
6. Rebates are listed in Table III.

B. Lighting

1. Energy efficiency lighting rebates are available for both conversion and new construction for fluorescent, LED, metal halide, and high-pressure sodium, as well as motion sensors.
2. All lighting improvements installed between January 1, 2009 and December 31, 2009 are eligible to apply during 2009.
3. All bulbs and ballasts removed must be disposed of in accordance with any Federal, State, or local government regulations. SMU will provide free recycling to customers.
4. Customer agrees to maintain lighting for which rebates were received at the same efficiency level or greater (i.e. customer cannot replace a burned out lamp with one of lower efficiency).
5. SMU will not pay for the replacement of failed ballasts or burned out bulbs, regardless of whether the equipment was installed under this rebate program or not.
6. Rebates will be applied to the customer billing account upon completion and approval of the rebate application.
7. During the annual "Change A Light" promotion, only instant rebates under the program will be honored. The normal compact fluorescent lighting rebates will be suspended during that time.
8. Rebates are listed in Table IV.

C. Appliances

1. Commercial accounts are also allowed to receive rebates for appliances that are listed in Table II of the residential program.
2. Rules governing the appliance are the same as those listed in the residential program.
3. If an energy efficient item is installed in a residence but paid for by someone other than the person who lives in the residence (landlord, property manager, builder or contractor, etc.), the rebate shall be classified as a commercial rebate.
4. Rebates listed in Table II.

Section IV Non-Profit Organization Rebates

1. All rebates shall follow the same rules and regulations and item rebates as provided for in the commercial sector.

Section V
Local Government Agency Rebates

1. The following government agencies may apply for rebates under this section: City of Spencer, County of Clay, Spencer Municipal Hospital, and Spencer Community Schools.
2. All other government agencies may apply as non-profit or commercial.
3. All rebates shall follow the same rules and regulations and item rebates as provided for in the commercial section unless addressed in this section.
4. Rebates will be paid directly to the agency.
5. Rebates are not limited by minimums as required in the commercial program. Rebates are not subject to filing deadlines listed in Section I, item 6. Agency shall work with SMU staff to file the rebate on a timely basis upon acceptance of the project as complete.

Section VI
Dealer Incentives

1. A dealer authorized for incentives must be a retail customer of Spencer Municipal Utilities.
2. The dealer must sign a dealer incentive agreement stating their intent to follow the rules and regulations of the program. The signed letter must be received by SMU on or before March 31, 2009.
3. The dealer incentive agreement includes a commitment to place advertising on SMU Cable TV mentioning the availability of energy efficient devices from the dealer and the availability of a rebate from SMU.
 - a. The advertising will be in the form of a display ad on SMU Cable TV Channel 3 for a minimum of one month.
 - b. The dealer may choose any month during the 2009 calendar year in which to run the ad.
 - c. SMU will automatically bill the dealer for this display ad at the time the dealer incentive agreement is signed. The charge will be added to the dealer's SMU Communications bill or, if the dealer is not an SMU Communications customer, the charge will be sent as a separate invoice. Dealer incentives will not be paid until this advertising invoice is paid.
 - d. SMU will apply a discount of \$25.00 for each monthly display ad on SMU, up to the annual maximum of \$100.00.
4. Dealer may also apply for partial reimbursement for other advertisements which promote energy efficient devices and the SMU rebate program. A reimbursement of up to \$25.00 per ad will be provided by SMU upon placement of the ad and submission of a copy of the ad(s) and the bill to SMU. An annual maximum of \$100 in advertising reimbursement shall apply for all forms of media, including SMU display ads and other media.
5. SMU shall keep records of all rebates processed and what dealer incentives have been earned. At the end of the quarter in which the dealer first places advertising, and at the end of each subsequent quarter, SMU shall reimburse the dealer for any incentives earned that have not already been paid and for any advertising reimbursements that have been earned. Dealer will also be provided with an itemized listing of incentives paid.
6. Failure of dealers to abide by the rules of this program will result in forfeiture of the dealer incentives.
7. Rebates are listed in Table I and Table II.

**Table I
Maximum Rebate Allowances**

Annual Maximum amount for all residential, commercial, non-profit, and local government rebates to be paid by SMU in 2009.	\$120,000
Annual Maximum Residential rebate per account*	\$750
Annual Maximum Commercial rebate per account*	\$7,500
Annual Maximum Non-Profit rebate per account*	\$7,500
Annual Maximum Local Government rebate per account	No limit
Maximum Annual Dealer Advertising Reimbursement	\$100
Maximum Per Ad Reimbursement	\$25

*Rebates for geothermal heat pumps do not count toward the maximum rebate per account.

**Table II
HVAC and Water Heaters**

Device	Size/Efficiency	Customer Rebate	Dealer Incentive
Residential Central Air Conditioner ¹	ENERGY STAR rated	\$300.00	\$50.00
Commercial Central Air Conditioner ¹	ENERGY STAR rated	\$100.00 per ton	\$100.00
Air Source Heat Pump ¹	ENERGY STAR rated	\$300.00 per ton	\$100.00
Ground Source (Geothermal) Heat Pump ¹	ENERGY STAR rated	\$600.00 per ton	\$300.00
Window Air Conditioner (limit 3 per account)	ENERGY STAR rated	\$25.00	n/a
Electric Heat (permanently wired installation-only when installed with heat pump)	≥ 1,000 watts (1 kW)	\$25.00 per kW	n/a
Programmable (set-back) Thermostat		\$25.00	n/a
Electric Water Heater (40 gal min.)	EF ≥ 93	\$75.00	\$50.00

Notes:

¹ For both air conditioners and heat pumps, SMU will pre-authorize the rebate to help the customer and the contractor with planning. A pre-authorization form is available from SMU. The installation must be completed and operational by the end of the calendar year.

**Table III
Appliances**

Dishwasher	ENERGY STAR rated	\$25.00	n/a
Refrigerator ²	ENERGY STAR rated	\$25.00	n/a
Clothes Washer	Energy Star rated	\$100.00	n/a

² In order to receive a rebate for an Energy Star rated refrigerator, applicant must provide written proof of disposal of their old refrigerator. Proof of disposal can be provided by your appliance dealer or a receipt for disposal from the City of Spencer Solid Waste Department. Proof of disposal should be returned to SMU along with the receipt and completed application.

**Table IV
Electric Motor Table**

Motor Size (HP)	Open Drip Proof			Totally Enclosed, Fan Cooled			SMU Rebate
	3600 RPM	1800 RPM	1200 RPM	3600 RPM	1800 RPM	1200 RPM	
5	85.5	87.5	87.5	87.5	87.5	87.5	\$25.00
7.5	87.5	88.5	88.5	88.5	89.5	89.5	\$30.00
10	88.5	89.5	90.2	89.5	89.5	89.5	\$40.00
15	89.5	91.0	90.2	90.2	91.0	90.2	\$50.00
20	90.2	91.0	91.0	90.2	91.0	90.2	\$75.00
25	91.0	91.7	91.7	91.0	92.4	91.7	\$90.00
30	91.0	92.4	92.4	91.0	92.4	91.7	\$100.00
40	91.7	93.0	93.0	91.7	93.0	93.0	\$125.00
50	92.4	93.0	93.0	92.4	93.0	93.0	\$150.00
60	93.0	93.6	93.6	93.0	93.6	93.6	\$175.00
75	93.0	94.1	93.6	93.0	94.1	93.6	\$225.00
100	93.0	94.1	94.1	93.6	94.5	94.1	\$275.00
125	93.6	94.5	94.1	94.5	94.5	94.1	\$325.00
150	93.6	95.0	94.5	94.5	95.0	95.0	\$400.00
200 or larger	94.5	95.0	94.5	95.0	95.0	95.0	\$500.00

**Table V
Lighting Improvements Table**

Type	Size	Rebate
Compact Fluorescent Lamps-all		
	≥ 13 watts	\$2.00
T8 Fixtures with electronic ballast		
	1 or 2 lamp	\$9.00
	3 or 4 lamp	\$14.00
	5+ lamps	\$18.00
Super T8 or T5 Fluorescent Fixtures with electronic ballasts		
	1 or 2 lamp	\$11.00
	3 or 4 lamp	\$16.00
	5+ lamps	\$23.00
Metal Halide Fixtures (Lamp Wattage)		
	50 watts or less	\$15.00
	51-80 watts	\$20.00
	81-120 watts	\$25.00
	121-300 watts	\$37.00
	301-500 watts	\$60.00
	501 or greater watts	\$75.00
High Pressure Sodium Fixtures (Lamp Wattage)		
	50 watts or less	\$20.00
	51-80 watts	\$25.00
	81-200 watts	\$30.00
	201-300 watts	\$35.00
	301-500 watts	\$50.00
	501 or greater watts	\$75.00
	50 watt white HPS (replaces 150W spotlight)	\$20.00
Occupancy Sensors		
	400 sq. ft. or less	\$25.00
	401 sq. ft. or greater	\$50.00
Exit Lamps	LED conversion or new	\$30.00
LED Lighting	Any LED lighting used as a replacement for incandescent, halogen, or fluorescent lighting	\$300.00 per kW in load reduction